Vaughan Scott

My Personal and Professional Passions & Goals:

- Continue to help create work environments that inspire and attract top talent
- Secure a top publisher for **Next Chapter®** and be asked to do a TED Talk

Professional History

Axiom Financial Strategies Group, LLC 03/2009 – Present
New Albany, Indiana
CEO
Area of Specialization: *Strategic, Transition and Succession Planning for Entrepreneurs and Family Enterprises*

- Led a transition from Wells Fargo Advisors to launching an independent RIA Firm in February 2020
- Led a transition from Smith Barney to Wells Fargo Advisors during the Great Financial Crisis in March 2009
- Re-branded our team under the Axiom name, restructured team to better serve clients and leverage expertise
- Worked with our team to identify our *Core Values:* Service, Accountability, Integrity, Growth and Innovation

University of Louisville, College of Business, Graduate Programs
MBA Certificate Director – Family Business Management & Advising 08/2022 – Present
Master of Science in Accounting Analytics (MSAA) Capstone Director 08/2020 – Present
Executive-in-Residence
Developed the original certificate development documentation (2018) and developed the "Letter of Intent", including course names, content, curriculum overview, and case and rationale for the program in 2019. Developed the course FMBZ 650: Family Wealth Creation & Preservation in 2021 and 2022 and launched the course in 2022. Currently overseeing all 6 courses for the Family Business Management & Advising Graduate Certificate and coordinating scheduling and course assignments and recruiting faculty talent with 4 other graduate-level faculty members.

The MSAA Capstone business consulting projects and learning experience offer teams of 4-5 students the opportunity to assist an organization in the Louisville area and beyond to improve aspects of its business strategy, tactics, operations and/or its regional, national or global expansion. In conjunction with key business owners and/or executives, student teams work to investigate and then create recommended courses of action through an in-depth and comprehensive project report while also addressing key issues as it pertains to their accounting practices, accounting systems, and "checks and balances".

Professor in Practice & ConsultMBA Program Curator 07/2017 – 08/2022
The "Capstone" business consulting projects and learning experience offer teams of 4-5 students the opportunity to assist an organization in the Greater Louisville area and beyond to improve aspects of its business strategy, tactics, operations and/or its regional, national or global expansion. In conjunction with key business owners and/or executives, student teams work to investigate and then create recommended courses of action through an in-depth and comprehensive project report.

Smith Barney 04/2003 – 03/2009

New Albany, Indiana

First Vice President – Wealth Management

Area of Specialization: Working with Entrepreneurs and Family Business Owners

- Responsible for identifying the initial strategic partnership opportunity and creating a business plan
- Coordinated negotiations between Your Community Bank and Smith Barney
- Launched and led the *Smith Barney Investment Center at Your Community Bank*
- Simultaneously merged Heritage Financial Services, a wholly-owned subsidiary of Your Community Bank
- Team revenues grew from $250,000 in 2003 to more than $2 million in 2008
- Assets under management grew from $40 million to $275 million in the same time period

Entrepreneurial Endeavors

Syntraxx, LLC d/b/a *DigiJump*™ 06/2002 – Present

Managing Partner, Co-Founder

- Managing a project involving electrical engineers, mechanical engineers, and design engineers, exercise physiologists, physical therapists, occupational therapists, and athletic trainers
- Completed a dramatic redesign and introducing a polished, user-friendly, touch screen interface
- Sourcing components and finding quality manufacturers in China through a strategic partner

Meaning & Purpose, Inc. d/b/a *LegacyIt*™ 09/2007 – 12/2014

Chairman of the Board, Co-Founder

- Developed a strong brand identity and key messaging from scratch
- Took a completely cloud-based solution to the market long before it was widely adopted
- Attracting over $2.0 million in private capital investment (over 90% from 7 stakeholders)

Professional Distinctions

Faculty Member – FFI GEN, Boston, MA 2017 – Present

FFI GEN delivers world-class curriculum, unparalleled access to prestigious faculty and thought leaders, and connections to a global network of specialists in the field.

Certified Private Wealth Advisor®

Investment Management Consultants Association® – Greenwood Village, CO

As the premier credential in this arena, the CPWA® certification program provides a challenging educational experience focused on behavioral finance, planning for closely held business owners, risk management and planning for executives.

Fellow, Advanced Certificates in Family Wealth Advising AND Family Business Advising

Family Firm Institute – Boston, MA.

The Family Firm Institute provides a global forum for practitioners and academics to acquire exemplary interdisciplinary knowledge while engaging in collaborative opportunities that facilitate their professional and business development objectives.

Noteworthy Presentations & Peer Recognition

June 2022

Co-Author, along with James E. "Jay" Hughes, Jr.

Trustee versus trustee – A Critical Distinction in Supporting Good Joint Decision-Making

This article focuses on the moral obligations that extend beyond the legal obligations in order to ensure that capital in all its forms (human, intellectual, social, spiritual, and financial) are considered, properly invested in, and perpetuate. Ultimately supporting the goal that good joint decisions are able to be made by families of affinity.

September 2, 2019

Master Class Presenter

The Family Business Conference (Asia Pacific Conference), Melbourne, Australia

Ensuring that Families and EFEs Thrive in an Era of Unprecedented Change

As the future unfolds, we continue to see some of the most dramatic changes in human history—social, technological, and economic—but we are also bearing witness to some unprecedented opportunities. Ensuring that EFEs (Entrepreneurial Family Enterprises) thrive in this environment involves the careful balancing of Eight Essential Elements, while the rate of change continues to accelerate all around us.

By leading families, and the leadership teams within their enterprises, through a few simple exercises that consultants learned during this interactive session, they exited the session better equipped to help "Future Proof" the families that they serve, the enterprises that they own, and they created better and more meaningful engagement individually and collectively. All this while ensuring that both families and enterprises can better to navigate this VUCA World (volatile, uncertain, complex, ambiguous).

January 24, 2019

Presenter – FFI Fellows Webinar: **Introduction to *Next Chapter*® Planning**

As the future unfolds, we continue to see some of the most dramatic changes in human history—social, technological, and economic—but we are also bearing witness to some unprecedented opportunities. Next Chapter® Planning is a consulting framework that integrates *Eight Essential Elements* that empower family enterprises to not only survive, but to thrive, as the rate of change continues to accelerate all around us. By leading families, and the leadership teams within their enterprises, through a few simple exercises that you will learn during this webinar, you can begin to better empower families that you serve, and the enterprises they own to navigate this VUCA World (volatile, uncertain, complex, ambiguous). All this while, engaging as many collective and individual voices as possible to develop and inform thoughtful strategies and tactics.

Co-Presenter – Barron's Top Advisory Teams Summit, Las Vegas, Nevada 02/2017

Succession Planning: Utilizing The ***Next Chapter*®** Approach

Presenter – FFI Fellows Webinar: **Introduction to Next Chapter® Planning** 07/2016

One of only 4 Fellows Webinars offered each year, I was asked to present on the strategic, transition, and succession planning process that I have been developing. The global audience (approximately 12 countries and 5 continents represented) included practitioners, academics, tax and legal professionals, strategy and management science experts.

Co-Presenter - Annual FFI Conference*,* New York, New York 09/2009

Live a Legacy...or Lose It: Using technology to preserve, perpetuate, and share non-financial assets in meaningful ways.

Education

Thunderbird School of Global Management
MBA- Global Management, European Cohort
Geneva, Switzerland
***Elected Class Speaker**, Graduated With Distinction*
Field studies in China & Brazil
GPA: 3.91
Honor Societies: *Beta Gamma Sigma and Pi Sigma Alpha*

Indiana University
Bachelor of Arts - English
Minor: History
Bloomington, IN
Overseas Study in Austria
GPA: 3.22

Graduate Institute
Executive Certificate – International Economic Relations
Geneva, Switzerland
GPA: 3.82
Thesis Paper: *Game Over – Loss of the Dollar's Reserve Currency Status*

Community Service

Our Lady of Providence High School – Board of Trustees 2020 - Present
Serving on the Board of Trustees to oversee all aspects of a private high school with a sterling academic reputation for number of students graduating with academic honors at a state level, receiving scholarships for post-secondary education, and for students entering college with numerous college credits (some entering college as sophomores).

Family Business Center at the University of Louisville - *Advisory Board* 2008 – 2016
Past Advisory Board Chairman, Past Chairman of the Governance Committee
The Family Business Center at the University of Louisville (College of Business) is one of the oldest and most-respected Family Business Centers in the country. Originally established in 1993, the Center addresses a multitude of challenges faced by closely-held and family firms.

One Southern Indiana – *Board of Directors* New Albany, Indiana 2008 – 2014
Chairman of the Board, *Chairman*-Business Resource Council Committee, *Chairman* – CEO Search Committee
Since its' founding in 2006, which began with the merger of three agencies, One Southern Indiana provides business resources, national award-winning economic development, and government advocacy for businesses throughout the Greater Louisville – Southern Indiana Region.

Bridgepointe Goodwill *Board of Directors* Clarksville, Indiana 1999-2007
Chairman of the Board, *Secretary*, *Treasurer*
Donations to Goodwill stores are used to help subsidize the cost of a children's learning center, job placement and employment services, as well as funding family support services.